FORM NLRB-501 (2-18)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE				
Case	08-CA-249010	Date Filed 9/27/19		

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in 1. EMPL	which the alleged unfair labor practice occurred or is on OYER AGAINST WHOM CHARGE IS BROUGHT	curring.
a. Name of Employer Case Western Reserve University Division of Public Safety		b. Tel. No. (216)368-0996 c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code) 1689 East 115th street Cleveland, Ohio 44106	e. Employer Representative	g. e-mail
		h. Number of workers employed 70
i. Type of Establishment (factory, mine, wholesaler, etc.) Police Department	j. Identify principal product or service public safety	
The above-named employer has engaged in and is engaged (list subsections) (2) (3) & (4) practices are practices affecting commerce within the methe Act and the Postal Reorganization Act.	of the National La aning of the Act, or these unfair labor practices affect	bor Relations Act, and thest unfair labor ling commerce within the meaning of
2. Basis of the Charge (set forth a clear and concise state In March 2019 I filed a prior charge with the NLR against for my support of the union. This charge w by my employer. On (a) (b) (c) (c) (c) (d) (d) (d) (e) (e) (e) (e) (e) (e) (e) (e) (e) (e	B against the employer for interference with the as voluntarily withdrawn in April 2019. Since acted by the employer's office of equity about a While I was not informed who made the compilian employee, based on statements and facts it resource department about an anonymous con	this filing, I have been retaliated against in anonymous complaint that alleged I plaint, it must have come from an included in the complaint. Then on implaint that I was inappropriate in a
3. Full name of party filing charge (if labor organization, g(b) (6), (b) (7)(C)	ive full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code (b) (6), (b) (7)(C)	9)	4b. Tel. No. (b) (6), (b) (7)(C)
		4c. Cell No. (b) (6), (b) (7)(C)
		4d. Fax No.
		4e. e-mail (b) (6), (b) (7)(C)
5. Full name of national or international labor organizatio	n of which it is an affiliate or constituent unit (to be fille	ed in when charge is filed by a labor organization)
6. DECLARATION I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.		Tel. No. (216) 531-0123
s David Glenn Phillips	Attorney	Office, if any, Cell No.
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fex No. (216)888-3928
Address 4403 St. Clair, Cleveland, Ohio 44103	Date 9/27/2019	e-mail d.g.phillips@sbcglobal.net

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

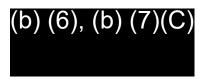
Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (216)522-3715 Fax: (216)522-2418 Download NLRB Mobile App

March 8, 2019



REGION 8 1240 E 9TH ST

STE 1695

CLEVELAND, OH 44199-2086

Re: Case Western Reserve University - Division

of Public Safety Case 08-CA-237257

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on March 07, 2019 has been docketed as case number 08-CA-237257. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney SHARLEE CENDROSKY whose telephone number is (216)303-7374. If this Board agent is not available, you may contact Supervisory Field Attorney RUDRA CHOUDHURY whose telephone number is (216)303-7359.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

ALLEN BINSTOCK Regional Director

Allen Brustock

AB:cj

cc: David Glenn Phillips, Attorney 4403 St. Clair Cleveland, OH 44103



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 8 1240 E 9TH ST STE 1695 CLEVELAND, OH 44199-2086

Agency Website: www.nlrb.gov Telephone: (216)522-3715 Fax: (216)522-2418 Download NLRB Mobile App

March 8, 2019

Frank Demes, Executive Director of Public Safety Case Western Reserve University - Division of Public Safety 1689 E. 115th St. Cleveland, OH 44106

Re: Case Western Reserve University - Division

of Public Safety Case 08-CA-237257

Dear Ms. Demes:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney SHARLEE CENDROSKY whose telephone number is (216)303-7374. If this Board agent is not available, you may contact Supervisory Field Attorney RUDRA CHOUDHURY whose telephone number is (216)303-7359.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures</u>: We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

ALLEN BINSTOCK Regional Director

allen Binstock

AB:cj Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

(b) (6), (b) (7)(C) and Case Western Reserve University	CASE 08-CA-237257				
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570				
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATI Case Western Reserve University IN THE ABOVE-CAPTIONED MATTER.	IVE OF				
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN A BOX MUST BE CHECKED, IF THIS BOX IS NOT CHECKED, THE PARTY WE DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENT CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN				
(REPRESENTATIVE INFORMATION)					
NAME: Wade M. Fricke	·				
MAILING ADDRESS: 127 Public Square, Suite 4100, Cleveland, C	DH 44114				
E-MAIL ADDRESS: wade.fricke@ogletree.com					
OFFICE TELEPHONE NUMBER: 216.357.4732					
CELL PHONE NUMBER:	_{FAX} , 216.357.4733				
SIGNATURE: Please sign in ink.) 3/13/19					

 $^{^{\}rm I}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 8 1240 E 9TH ST STE 1695 CLEVELAND, OH 44199-2086

Agency Website: www.nlrb.gov Telephone: (216)522-3715 Fax: (216)522-2418

April 19, 2019

Wade M. Fricke, Esq. Ogletree Deakins Nash Smoak & Stewart, P.C. 127 Public Sq Ste 4100 Cleveland, OH 44114-1312

Re: Case Western Reserve University -

Division of Public Safety Case 08-CA-237257

Dear Mr. Fricke:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

ALLEN BINSTOCK

Regional Director

AB:cj

cc: Frank Demes, Executive Director of Public Safety
Case Western Reserve University –
Division of Public Safety
1689 E. 115th St.
Cleveland, OH 44106

(b) (6), (b) (7)(C)

David Glenn Phillips, Attorney 4403 St. Clair Cleveland, OH 44103